Access Management Use Cases Organized by Area of Interest

Background

As we begin to think about access management as a problem space, and we start to consider how we might begin to solve problems in the space, it can be easy to become overwhelmed with the magnitude of the space and the number of issues that may arise in it. Our goal during CAMP is to find ways of breaking down the problem space into somewhat more manageable parts, and to look at real-world methods for addressing those parts.

One way we may approach this breakdown is by articulating use cases, or as some would prefer to identify them, “user stories”, depicting some of the common situations that call for access management solutions. By identifying use cases, we can not only start to define the real-world requirements our access management solutions need to address, but also (perhaps with a bit of work and some good luck) begin to find some of the common features of use cases in disparate areas of our organizations. It’s those common features that may eventually lead us to common solutions, which can in turn chip away at the otherwise daunting monolith of access management.

To get us started thinking about the access management problem space and provide some background for discussions at CAMP, we’ve put together a collection of use cases or “user stories” that represent some of the most common types of access management problems many of us are confronted with. We’ll go into more detail about a few of these on the first afternoon of CAMP. Many of these use cases are derived from the results of a survey conducted in late 2008 at Duke University (with support from Internet2 and Educause) privilege management - the final report of that survey’s results is available online at http://www.asmc.org/e2/admin/papers/SAKEM_PKM_Survey_Final_Report.pdf. Others are representative of use cases members of the program committee have identified on their own campuses, or use cases reported by participants in the Internet2 MACE-paccman effort.

Business Operations Use Cases

Like any large organization, colleges and universities must manage employees and finances, purchase equipment and services, and maintain records for their own internal and for external (or regulatory) purposes. A host of access management use cases arise in our business units, many of which share strong similarities to equivalent use cases in the private sector, but some of which may differ as a result of qualitative differences in the way our institutions conceptualize institutional business processes. Here are some representative use cases that evolve from the business operations environment:

1. Budget Access by Director and Assistant - Sarah is the new Director of Facilities Management. As the Director, she has the authority within the institutional ERP system to manage the access rights afforded to other individuals with respect to fund codes within Facilities Management. The Director wishes to have her administrative assistant process monthly budget reconciliation statements for her non-salary fund codes, but wishes to manage her salary fund codes directly. She explicitly grants her administrative assistant access to read and reconcile transactions against her non-salary fund codes in the ERP, but leaves herself as the sole individual with access to her salary fund codes. (Single authority identified by organizational hierarchy grants by fiat to single subject multiple privileges on a single target resource constrained by resource scoping)

2. Old and New Payroll Clerks - Gina, an administrative assistant in the Department of Chemistry, vacates her position in the department to take a new position in the Office of the Comptroller. Gina has been the department's payroll clerk for a number of years. The department chair chooses his executive assistant, Marcus, to take over as payroll clerk for the department. As payroll clerk, Marcus will need access to sensitive payroll information about non-exempt employees in the department, but will not need access to faculty salary information or student records. The department chair logs into an access management system and designates Marcus as the new payroll clerk for the Department of Chemistry. In so doing, he grants Marcus a collection of rights within various financial applications appropriate for a departmental payroll clerk in his department, and Gina (who is still employed by the university and still recognized by the authorization system as a user) has her payroll clerk privileges for the Chemistry department revoked. (Single authority identified organizational hierarchy grants multiple related privileges collected by role on multiple target resources to single subject and revokes multiple related privileges collected by role on multiple target resources from single subject)

3. Clery Notification - Richard is the institutions Vice President of Public Safety, and as such, he is authorized within an emergency notification system to approve Clery Act notifications which will be sent via multiple venues to the entire campus community. Richard schedules a two week vacation in Europe. He delegates his Clery role to the Chief of Campus Police, Trish, during his two week absence, allowing her to approve Clery notices in his stead. When a pair or armed robberies is reported outside a student dormitory one week later, Trish is able to approve a Clery notification for distribution on Richard's behalf. Upon his return from vacation, Richard revokes the delegation of his Clery role, and Trish loses her ability to approve Clery notices in the system. (Single authority identified by organizational hierarchy transfers privileges by fiat to a single subject designee on a single resource constrained by an absolute time limit)

4. Wellness Program Participation - A university's HR department offers a health and wellness program for university staff and faculty. The program is entirely voluntary. Participation requires a commitment by the employee to engage in a short online health awareness exercise, in return for which the university offers participants discounts on services at the university health club as well as periodic special offers from area business deemed by the university to be offering wellness-supporting services. A new employee in the physical plant hears about the program during an HR orientation and visits a web site to sign up. Once enrolled in the program, the employee has access to the program's web portal and receives weekly email reminders about training opportunities and special offers. (Multiple subjects act as authorities self-selecting to opt themselves into multiple privileges on multiple, federated target resources with affiliation and prerequisite constraints.)

5. Travel Reimbursement Approvals - Business rules within a college require that travel reimbursements in excess of $1,500 per diem be approved by the traveler's immediate supervisor or someone in the supervisor's management chain and countersigned by an agent from the college's Accounting office. Martha, the Assistant Director of International Relations, returns from a business trip to Switzerland and files a travel reimbursement form attesting to $1,800 in expenses on the final day of the trip. The reimbursements system routes his last day's request to the
Terminating Access for a Disgruntled Employee

A Systems Administrator in the Computer Science department is terminated abruptly for egregious violation of University harassment regulations. When the employee is terminated, University policy states that his access to core services and systems must be terminated within 48 hours, and automated processes are in place to ensure compliance with that policy by removing the employee's access to systems throughout the institution. The automatic processes are triggered as overnight batch processes in order to avoid possible service interruptions during normal business hours. The Chair of the CS department, however, has reason to believe that the terminated employee may intend to do some mischief before his access is disabled, so to protect departmental systems, he contacts the IT Security Officer (ITSO) and requests an exceptional authorization change. The ITSO logs into a privileging system and, using rights granted to him by his functional role as ITSO, places an administrative block on all privileges afforded to the terminated employee, and triggers an immediate update of access rules on core systems and CS Departmental systems. Three hours later, the terminated employee attempts to log into the CS department's mail server and delete his accuser's account, but is denied access due to the ITSO's manual override. Overnight, the nightly batch run removes the user's access rights in all systems, making the ITSO's manual override unnecessary. The next morning the ITSO removes his manual override from the system.

Special Access for New Employee

A new software engineer is hired by the Administrative Computing group. His addition to the staff automatically provisions him with an electronic identity and with access to some common productivity tools, etc., shared by all staff members. On his first day at work, his manager logs into an access management interface and adds the new employee to a group constructed to identify programmers working on a new Purchasing system. This automatically provisions with the new engineer with read access to the code repository for the Purchasing system, but does not automatically grant him write access to the repository. The first time the new engineer attempts to commit changes to the code repository, a workflow is triggered which notifies the project manager overseeing the coding project. The project manager reviews the new engineer's credentials and that the new engineer should be granted commit rights in the repository. Once the project manager authorizes his commit rights, the new engineer is able to modify code within the Purchasing system.

Budget Approval Process

A University budgeting system implements an hierarchy-based policy for budgetary approvals, in which budgets for organizational subunits are submitted by their respective managers and approved by their department heads, who in turn submit their combined budgets (along with their own offices' discretionary budgets) for approval to bank routing information or staff payroll information. The system incorporates an online training module on current procedures for securing sensitive information and attest to their agreement to follow documented University regulations. The system stores information in the institutional identity management repository indicating the date when an employee last completed the online training module, and periodically sends notices to individuals whose training is more than one year out of date and who still work in covered job categories. The training system grants access to the module automatically to employees whose IdM data indicate that they meet the criteria for completing the instructional module. Other applications that traffic in sensitive financial information include the currency of transactions and tools used in covered job categories. The training system grants access to the module automatically to employees whose IdM data indicate that they meet the criteria for completing the instructional module. Other applications that traffic in sensitive financial information include the currency of transactions and tools used in covered job categories.

Budget Approval for New Department

At that same University, the budgeting system eventually encounters a new interdisciplinary program in Genomics that comprises faculty and staff from a number of different departments spanning multiple schools and colleges. The Program Director submits budget into the system, but since the program is not part of any officially recognized school or division, the Director's budget is routed all the way to the Provost for approval.

Employee RIF

An employee is separated from the institution due to a RIF (Reduction In Force) in her department. HR rules require that she retain access to the campus HR portal and to career development resources for 90 days following her separation to facilitate her transition into a new position (whether internal or external). The campus access management system notices her separation and removes her from all active employee groups and roles, thus denying her access to most staff-authorized systems on campus. She is automatically granted special access to the HR portal and the career center library system for 90 days. At the end of the 90-day grace period, her rights in those two systems automatically expire.

Inappropriate Purchase with Institutional Funds

An incident involving the possible misuse of a University purchasing card to acquire an item of jewelry is being investigated by Internal Audit. The investigator requests a report from the purchasing system of when and by whom the specific purchase was approved, and finds that the purchase was approved by an administrative assistant with authority to approve purchases only up to $500. The investigator then retrieves a report from the access management system of all privileges previously assigned to the administrative assistant who approved the purchase.
assistant, and finds that on the date the purchase was approved, the employee was granted approval rights up to $5,000 for a period of four hours. The investigator notes in the audit log that the assistant’s manager - the Assistant Director of Finance - had granted those rights to her. After further investigation, it is determined that the Assistant Director had granted those rights to her assistant in violation of University regulations, and had then directed her to approve the purchase in an attempt to avoid its being detected by the auditors. Both the Assistant Director and her assistant undergo disciplinary action as a result of the incident.

15. **Affiliation Transitioning** - A staff member in the Accounting office applies for admission to the graduate program in Mathematics and is accepted. Three months into her graduate program, she decides to vacate her position in Accounting and become a full-time graduate student. When she transitions out of her Accounting position, her access rights to the university ledger and other financial accounting systems are revoked automatically, but as a continuing student, her university ID, her university electronic identity, and her common services accounts (email, scheduling, collaborative applications) remain active, as do her student services (access to the campus LMS, access to the Bursar’s bill tracking system, etc.).

### Academic and Research Use Cases

While many of the use cases we find within business units at our institutions may mirror similar cases in private industry, another collection of use cases are more unique to the higher education sector. The academic use cases exist only in educational contexts, but on thorough inspection, many of them may bear strong resemblance to use cases in other sectors, including the business operations cases outlined above. Here’s a sampling of use cases found within research and pedagogy.

1. **Off-campus Colleague Access to Local Research Results** - Professor Smith, of the Department of Pharmacology in the Medical School, is researching the chemistry of snake venom to determine whether certain components of various snakes’ venom may be useful in the management of chronic pain. Professor Jones, in the Department of Genetics, has recently completed a mapping of the genome of one particular species of cobra, and after reading an article by Professor Smith on that cobra’s venom, offers to share his research results with him. Professor Jones explicitly grants access to his cobra genetics notes in the Genetics Faculty wiki to Professor Smith, who uses Professor Jones’ research to further his analysis of the components of the particular cobra’s venom.

2. **Adding a Lab Assistant** - A faculty member in the Department of Physics arranges to have one of his better undergraduate students from the previous semester act as a lab assistant for his structural dynamics class. He adds the "lab instructor" role for Physics 108 to the student’s profile in the learning management system (LMS) and the student automatically gains access to lab teaching materials and student lab reports for the course.

3. **TA Grade Access** - A university uses its LMS to handle mid-term grade reporting - faculty enter grades for assignments and mid-term quizzes and exams in the LMS, where students can review them online and track their progress until the end of the term. The LMS automatically assigns grade entry privileges to instructors (as identified by the student registration system). Professor Gamow chooses to have one of his graduate students act as TA for his EM Fields course and delegates his grade reporting privileges in the LMS to his student. The student is then able to report grades for students in the EM Fields class within the LMS. When final grades are due, Professor Gamow reports them to the Registrar based on information previously reported in the LMS.

4. **Resource Owners Managing Access Data** - A university’s central IT organization operates an authorization service used by all its colleges and schools to manage access rights within a shared faculty management application. Central IT staff find themselves spending increasing time entering role and permission changes on behalf of the schools. Central IT staff use a delegation mechanism built into the authorization facility to grant administrative staff in each School direct access to authorization rules for resources within their Schools, relieving them of workload and distributing decision-making authority to resource owners.

5. **FERPA Information Restricted** - Under federal regulations, certain educational records information about students may be categorized as "directory information" and may be disclosed by institutions without prior consent from students. Students reserve the right under FERPA, however, to have disclosure of their directory information blocked upon request. An undergraduate Engineer becomes concerned that a high-school acquaintance may be stalking her, and wishes to have her contact information (name, address, email address, telephone number) blocked from view. The university considers those data elements to be directory information under FERPA, and discloses them by default. The student visits a FERPA portal system and marks those data elements as FERPA protected information in her records. Subsequently, applications that access student educational information and idM data about students refuse to allow access to the student’s contact information except when the requester is identified as having an academic need to see the information.

6. **Course Registration Exceptions** - A Biomedical Engineering (BME) student in her senior year signs up for Professor Jones’ popular seminar on biomedical research ethics. As a BME upperclassman, the registration system allows her to sign up directly. Her roommate, a pre-med student majoring in Public Policy, attempts to sign up for the same course, but because of rules applied to the course in the registration system, the second student's registration for the course is suspended, and the system sends an approval report to Professor Jones. Having spoken with the student beforehand, the professor is prepared for the request, and authorizes it in the registration system. The pre-med student is enrolled in the class.

7. **Restricted-Blog Access** - Professor Pilkey wants to grant access to a blog he maintains about his research into the effects of pollution on shallow-water marine invertebrates to students in his Wetlands Ecology course. The campus idM system automatically places students in course-specific groups based on their enrollment in specific sections of specific courses. The blogging software supports LDAP-based groups, so Professor Pilkey grants access to his blog to the members of the "ECO 212 Students" group.

8. **Course Deadline Extended** - A student in Dr. Schonfeld's Ordinary Differential Equations course is unable to attend the final exam due to an authorized absence (a death in her family). Professor Schonfeld has removed access in the LMS to her class notes for the prior semester's students, since the semester is at an end, but she makes an exception for the student at the request of the Dean, and grants her access to the course space in the LMS for an additional week in order to complete studying for the make-up exam. One week later, the student's access is automatically removed by the system.

9. **Career Services** - Career Counseling Services arranges to have an online course in effective interviewing techniques made available to students who meet specific criteria - those who are expected to graduate within one year and who are in degree-seeking programs (part-time and inter-institutional students are excluded). The vendor providing the online course materials requires that the center make a good faith effort to limit access to those students. The center arranges to grant access to any student whose expected date of graduation is less than one year in the future and whose identity information indicates she is a full-time student.
10. **Adding TA Access to Course Dropbox** - In a shared filespace, a faculty member desires to grant read access to course materials to both his students and his TAs, write-only access to a dropbox or his students, and read-only access to the dropbox for his TAs. The file server consumes group information from the identity management system to enable the faculty member to grant read to the course materials for his students, but because only the faculty member knows the identity of his TAs, he manually adds the TAs one by one to an access whitelist for the shared filespace.

11. **Faculty Survey Access** - A faculty member in Education working for the Provost's office on a multi-year effort to enhance undergraduate instruction is researching the effectiveness of different pedagogical strategies in the teaching of new foreign languages to non-language majors. He develops a survey which he wants instructors teaching introductory language classes in all foreign language departments to respond to. He grants permission to access the survey to faculty members and graduates students identified as instructors in at least one section of a 0-level foreign language course. The Registrar later grants him access to depersonalized grade information from 0-level foreign language classes to complete his research.

12. **Approval of Faculty Promotions** - A web-based faculty management and promotion system needs to grant access to faculty CVs and evaluations in a way that reflects the institutional academic hierarchy. Professor Jones is both an instructor in the department of Microbiology and the Chair of the department. As a department chair, Professor Jones has access both to his own CV and to the CVs and evaluations of all faculty within the department. Professor Johnson is the Dean of Basic Sciences, and teaching faculty in the Anatomy department. Because Microbiology is part of the Division of Basic Sciences, Dean Johnson has access to professor Jones' CV and evaluation reports, as well as to all the CVs and evaluations of faculty in Microbiology and other departments within the division. She has access to her own CV as a faculty member, but not to the CVs of faculty in other parts of the School of Medicine. Dean Hillard is the Dean of Medicine, and has access to all faculty information in the School.

13. **Access to Course Resources** - Professor Hausmann teaches four sections of Basic Anatomy in the Medical School, one of which is cross-listed in Comparative Biology and co-taught by Professor Biggs. In conjunction with an LMS system, the university provides shared filespace for instructors to use in their courses. By default, instructors are given one shared directory for each course they teach and one subdirectory for each section of each course. Professor Hausmann is granted full privileges in a top-level shared directory for his Basic Anatomy course (BA4401) and in subdirectories for each of the four sections of the course (BA4401S1 thru BA4401S4). Students in each section are given read access to the BA4401 directory and to their individual subdirectories, but not to other sectional subdirectories. Professor Biggs is automatically granted full access to the BA4401S4 subdirectory, which is also referenced through a filesystem link as CBIO410S1. Students enrolled in the cross-list have access to the one subdirectory and the BA4401 super-directory.

14. **Requisite Training for Lab Access** - A new graduate student matriculates in Biochemistry and as a result is granted card access to the Chemistry and Biology buildings and all departmental areas except a Class 2 pathogen lab in the basement of the Biology building. Access to that lab is contingent upon completion of a rigorous course in safe handling of Class 2 human pathogens and the operation of the labs safety equipment. The graduate student completes the course a week after matriculation and once the occupational health and safety office updates his IdM information to indicate that he is in compliance with the requirement, his card grants him access to the lab automatically. Six months later, his compliance comes due for renewal, and he’s notified that he must complete a refresher course to continue his access to the lab. Having completed the only course involving use of the Class 2 lab he plans to take, he chooses not to renew his certification, and as it lapses, his card access to the lab is revoked.

15. **Student Registration Glitch** - Professor Stedman's Marketing 304 course culminates in a final project which is to be submitted electronically by each student no later than 5pm on the last day of class. A student in the class fails to submit his final project on time and reports that he was denied access to the class dropbox when he attempted to submit his assignment. The instructor is suspicious and retrieves audit logs from the LMS which indicate that the student was indeed denied access to the dropbox at 4:45 on the appointed day. Enlisting the assistance of his IT support staff, Professor Stedman finds that the student was removed from the Marketing 304 Student group that morning, and reinstated in the group the following morning. Further investigation by the IT staff determines that a failure in the university registration system had caused truncation of the student list for Marketing 304 and caused the student in question to be errantly reported as not enrolled in the course for approximately 24 hours. In light of these facts, Professor Stedman grants the student an extension and allows the student to submit his final project for full credit.

16. **Colleague Submitting Grades for Another** - Professor Jones in the School of Engineering is planning to attend an IEEE event in Switzerland and will be unavailable for three weeks at the end of the semester. She has arranged to have final grades for all of her students completed prior to her departure, but due to restrictions set by the Registrar's grade reporting system, she can't enter the grades officially until after the semester ends. She arranges for a colleague (Professor Wilson) to enter her grades for her, and in order to enable this, transfers her rights with respect to her Students' course to her colleague, limiting the transfer to the specific range of dates during which she will be in Switzerland. When the time comes for grade reporting Professor Wilson is able to post Professor Jones' Signals grades on her behalf. Three weeks later, Professor Wilson accidentally attempts to open Professor Jones' grade report for her Signals class and is denied access by the grading system, since Professor Jones' transfer of authority has expired.

17. **Pre-hire vs. Post-Hire Affiliation** - Normal HR processes ensure that new employees and faculty are automatically provisioned with electronic identities and granted appropriate access to services based on their roles within the organization on the morning of their first official day at work. Dr. Zalib Bentha is the world's foremost authority on the lasing behavior of gallium-based solid-state lasers, and has just accepted a position in the Electrical and Computer Engineering department at the University. His effective date in the position is six months hence, but because of the importance of his hiring to the School of Engineering and his interest in beginning to build collaborations before his arrival, the Dean of the School makes an urgent request to have soon-to-be Professor Bentha provisioned for access to the University e-mail system and the School's collaborative wiki system. Staff in the electronic access management group follow a pre-defined procedure to enroll Dr. Bentha in the University identity management system as a special “pre-hire affiliate”. He is automatically issued an electronic ID, but is not populated in any specific user groups. His pre-hire status grants him automatic access to and provisioning for the University email system, but does not give him access to departmental resources. As part of the pre-hire workflow, the Dean's office is notified when Dr. Bentha's identity is created, and staff in the Dean's office explicitly grant him access to the Engineering wiki system. Six months later, when Professor Bentha begins his tenure at the school, the normal HR process adds him to various faculty groups and removes his pre-hire affiliate status and associated special privileges. The Professor arrives on his first day in the department and sees no interruption in his existing access to services.

18. **Delegated Directory Administration** - Bill is one of three IT administrators in the Department of Chemistry within the College of Arts and Sciences. As part of his departmental duties, he manages both Windows-based desktops on faculty and graduate student desks and a cluster of Windows-based file servers. His systems are all joined to an enterprise Active Directory domain which also incorporates user objects for all the university affiliates in the enterprise identity management system. Due to disk space exhaustion, Bill needs to relocate the home directories of roughly half of his faculty from their current file server to a new file server. He migrates the relevant data, and then needs to update attribute information in the enterprise AD regarding the path to his faculty members' home directories. His status as an IT admin in the department confers...
on him the ability to update the homeDirectory and homeDrive attributes for users in his departmental OU within the central AD, and he successfully updates his faculty members' information using standard Microsoft tools. Later, when Bill mistakenly attempts to update one of his faculty member's msExchgHomeServerName values, he is prevented from saving the change, since his rights as an IT administrator in the department do not extend to overriding the campus IDM systems' selection of an Exchange home server for his users. Still later, while Bill is vacationing in the Swiss Alps, his departmental fileserver is destroyed in a machine room mishap, and the faculty whose home directories were moved must be restored from tape to yet another server. In Bill's absence, Patrick, who works for the College's IT administration, is able to use his campus-wide privileges as an IT admin to update the same homeDirectory and homeDrive attributes for Bill's faculty. When, upon his return from Switzerland, Bill takes a position as a departmental support manager in another department, his privileges regarding Chemistry faculty attributes are automatically revoked.

**Residential Life Use Cases**

Many of the challenges we encounter in both identity management and access management inside higher ed grow out of the interplay between the different (and sometimes conflicting) relationships our constituents may have with our organizations. Students can also be employees; faculty may also be tenants. One place where these kinds of issues often arise is in the Office of Residential Life. Here are some exemplary use cases:

1. **Special Access by Student Employees** - The Undergraduate Housing office employs a small number of work-study students each semester as aides during the undergraduate housing lottery. Their job is to enter information from paper forms submitted during the lottery process by other students into the online room assignment system. Under normal circumstances, first-year students are blocked from accessing the online room assignment system until the second week of the housing lottery in order to give upperclassmen priority for housing selection. Gina is a first-year work study student hired to work during the housing lottery in the Spring semester. A privileging system detects that while she is a first-year student, she has been designated a Housing employee for the three weeks of the lottery, and grants her early access to the room assignment application. Her supervisor takes responsibility for ensuring that she does not abuse her privileges to assign herself a room before it is appropriate for her to do so.

2. **Dorm Access for Residential Advisers** - For reasons of safety and security, access to student housing on the main campus of the university is tightly controlled. Dormitory doors are magnetically locked and protected with ID card readers wired to the university’s “UniCard” system. Between 8am and 10pm daily, all student ID cards will open all exterior dormitory doors, but between 10pm and 8am, access is restricted to those students living in each dorm. Residential Advisers (RAs) constitute a special case, in that they require 24x7 access to multiple dorms within the residential quad in which they reside. When John encounters a family crisis and decides to take a mid-semester leave of absence, Residential Life arranges to make Richard the RA for the North Campus quad. Res Life staff identify Richard as an RA in their housing system, and based on information in the housing system regarding the location of his room on campus, a privileging system grants Richard 24x7 access not only to his own dormitory but also to the five other dormitories in his quad. When the Registrar places John on leave of absence in the registration system, the privileging system recognizes that his special access is no longer valid, and revokes his RA privileges.

**Library Use Cases**

One of the abiding features of higher ed organizations is our dependence upon and close collaboration with librarians. Libraries introduce a number of somewhat unique use cases for access management - they, perhaps more than any other groups with whom we interact - are sensitive not only to security but also to privacy. While their details can be quite unique, some of the basic features of library use cases may be similar to those from other areas of interest. Here are some demonstrative use cases from the library environment:

1. **Temporary Privileges for External Patrons** - Jake is an art historian living in town and working for a local art dealer, where he authenticates 18th century paintings for the dealership. The University library happens to have in its rare book holdings the most authoritative reference (written in the 1880's) on the works of an obscure Viennese artist of the mid 1750's, one of whose better-known works is being offered at auction. Jake suspects that the painting may be a forgery, but needs to verify some facts in the authoritative reference. He contacts the University library, and finds that while the library is pleased to offer on-site access to its public stacks to causal patrons, access to the library's rare book room is more constrained. Jake visits the library's reference desk and is issued a temporary patron card. The reference librarian adds the patron ID associated with the card to a rare book reader group through her desktop client. Jake uses the card to access the rare book stacks, and is able to find that in fact the painting is authentic. When he returns his temporary patron card to the reference desk later in the day, the reference librarian revokes that patron ID's rare book access privileges.

2. **Professional Organizations and Federations** - A librarian at the college's main library agrees to proctor a survey on behalf of the American Library Association (ALA) of higher ed librarians. The survey seeks to gather information about successful and unsuccessful strategies for managing electronic periodical subscriptions. The survey is intended to target a specific audience - librarians within higher ed who are themselves members of the ALA. Membership in the ALA can only be authoritatively asserted by the ALA itself, while affiliation with colleges and universities can only be authoritatively asserted by those colleges and universities. Fortunately, the ALA is party to an identity federation in which hundreds of higher ed institutions participate. The ALA sets up a web-based survey application using federated SSO services that allows librarians working at institutions within the federation to authenticate through their "home" organizations and gain access to the web application. The web application subsequently determines whether to grant them access to the survey itself based on the status of their membership in the ALA (as determined by direct inspection of the ALA's membership roster).

3. **Anonymized Access to Resources** - Another librarian at the same institution is establishing a new collection of electronic documents pertaining to recently declassified information about the US response to terrorism during the late 20th century. The artifacts in the collection are to be made available for online interlibrary access to faculty and graduate students at colleges in the tri-state area surrounding the university. Although the material is declassified, there is significant concern about the privacy of individuals who may wish to access it. The university participates in an identity federation that encompasses the tri-state area. The librarian arranges to have access to the new electronic collection protected by a federated identity system that allows patrons to authenticate at their "home" institutions within the federation and access materials at the university. He arranges to request only the necessary information about patrons from their home institutions - scoped affiliation information sufficient to distinguish between students and faculty at participating institutions and other individuals, but not sufficient to identify the individuals uniquely, thus protecting the anonymity of users without allowing unauthorized access to the collection.

**Medical Center Use Cases**
University medical centers and hospitals bring a number of unique use cases into the picture, in part due to the the constraints imposed by HIPAA, and in part due to the unique interaction between medical researchers and medical practitioners that can arise in academic medical settings. Even though the constraints may be different, many of these use cases bear striking resemblance, at their heart, to non-medical use cases outlined above. Below are a few use cases from the medical environment for comparison:

1. **Chart Access by Consulting Physician** - Hospital rules interpret HIPAA privacy regulations to dictate that only those medical staff and faculty directly involved in the care of an individual patient should have access to view that patient's medical records during treatment. Faculty in the medical school may have access to depersonalized medical data for purposes of research and instruction, but may only view personally identifiable medical information if referred a patient by an attending physician. An attending physician in the ER is treating a patient with symptoms of West Nile viral infection, and needs a consultation from an Infectious Disease specialist in the Medical School. The attending instigates a consultation and referral process which grants the ID specialist temporary access to view the patient's medical records. Once the consultation is complete, the ID specialist's access is revoked automatically.

2. **Nurse Changing Departments** - Patient care needs require that all nurses working in Orthopedics have access to Ortho patients' medical records. When Nurse Mills moves from the day shift in Physical Therapy to the night shift in Orthopedics, a nursing supervisor adds the "Ortho Nurse" role to her profile in the medical records system, granting her appropriate access for her new role. The shift supervisor in PT likewise removes the "PT Nurse" role from her profile, removing any rights she was granted during her time on the day shift. When Mr. M. is admitted to Orthopedics after presenting in the ER with a fractured pelvis, his admission to Orthopedics automatically grants Nurse Mills access to his medical records.

3. **Drug Restocking Approval** - Nurse Wilson notices during a routine inventory review that the Oncology ward's drug cabinet is running low on a particular anti-emetic drug. The anti-emetic is a scheduled substance, so her request to the Pharmacy for restocking requires approval by both her supervisor and an attending physician in Oncology. The Pharmacy system detects the approval requirement and routes the request to the head Oncology nurse, then to the on-call Oncologist for approval before filling the order.

4. **New Nurse Access/OnBoarding** - Sarah is in the process of being onboarded as a new nurse in the Emergency department when a major industrial accident is reported in the area. Since the university medical center is the main trauma center for the region, an "all hands" call is placed for emergency medical staff to handle the expected increase in patient volume. The new nurse is on-site and prepared to provide support, but the university privileging system has not yet activated her access to hospital records and pharmacy systems, pending a scheduled overnight batch process. The head nurse on duty in ER logs into the privileging system and explicitly grants temporary access to both systems to Sarah, overriding the normal system workflow. Later, when the batch process executes, additional privileges are afforded to the new nurse in keeping with her position, and the head nurse's override is removed.

**Guests and other Non-Traditional Affiliates Use Cases**

Some use cases may cross multiple areas of interest. One very common group of situations involves the need to grant access to some organizational resource to guest users or to non-traditional affiliates. Note the similarity to some of the "embedded" use cases in the groupings above:

1. **Temporary Network Access for Guests** - A university offers wireless access to all its official affiliates through a web-based registration system that requires authentication against the institutional SSO system. A number of non-traditional affiliates and guests, however, need to be granted access to the wireless network during their stays on campus, but since they are not tracked by the central university identity management system, they cannot use the wireless registration mechanism. A separate application is devised that allows specific individuals within recognized "gateway" units on campus (the Library, the International House, the Faculty Club, and the Office of Residential Life) to authorize guests for special guest access to the wireless network. These individuals act as registrars for the guests they authorize, registering them in a guest access system. The web interface allows registrars to review, add and remove guests from their lists of "approved" guest network users. The guests then have access to an alternative web interface that allows them to register their wireless devices for access via the guest wireless network.

2. **Temporary Accreditation Committee Access** - An institutional curriculum committee is convened every five years by the Provost to review the curricula of the disparate Schools and make adjustments to align curricula with changes in institutional focus and/or new pedagogical goals and strategies. The committee meets in camera twice monthly for a 12 month term each time it is convened. Only the committee's final report is made public - minutes of its sessions and communications between the committee members are made available only to members of the committee, the Provost, and the Chancellor through a secure wiki. Every ten years, an external accreditation committee visits campus to conduct a review for the university's reaccreditation, and as part of its effort requires access to the minutes of the curriculum committee's meetings. The accreditation committee comprises faculty and administrators from a number of peer institutions, none of whom are affiliated with the university in other ways. Accreditation committee members are granted "ex officio" identities which are then granted access to the curriculum committee's meeting minutes in the secure wiki. The ex officio identities are revoked once the accreditation committee's final report is delivered.